

A whole new
world of trading
is here

Meet Zerodha's technology arsenal for
next generation trading



Pi



Quant



Q



Kite

India's fastest
growing community



Varsity



Z-connect



TradingQna



Zero % Brokerage

Trade on NSE, BSE, MCX-SX and MCX
Trade as much as you want for a flat Rs.20 per
executed order

No Hidden costs, Check our [Brokerage Calculator](#)
2014 BSE / D&B Emerging Brokerage Award

Products

15+ Innovative Tools and Initiatives

B

Bracket Orders

Get the power of much higher leverage, with increased protection, and also ensuring that no profitable position turns into a loss!

S

SPAN Calculator

The first online tool in India that lets you calculate comprehensive margin requirements.

P

Pulse

The latest business, finance, and market news from the last 24 hours from all major Indian news sources aggregated in one place.

Z


ZITIR

Zerodha Insider Tool helps you to spot trading opportunities

Documents required to open your account

Copy of PAN Card, Address Proof, Income Proof.

We need your signatures!

Look for  in the application form and sign there.

Need even more?

 www.zerodha.com

 080-49132020



INSTRUCTIONS / CHECKLIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters /Partners /Karta /Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): -

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card / document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary Public / Elected representatives to the Legislative Assembly/ Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and /or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities / multilateral agencies exempt from paying taxes / filing tax returns in India.
4. SIP of Mutual Funds up to Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of Assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

G. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/ and IFSC Code of the bank should be submitted.

H. Demat master or recent holding statement issued by DP bearing name of the client.

For Individuals:

- a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
- b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

For non-individuals:

- a. Form need to be initialized by all the authorized signatories.
- b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/others & their specimen signatures.

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instruction/checklist.	3
		B. Document captures the additional information about the Constituent relevant to trading account and an instruction /check list.	4 – 6
2	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	7
3	Rights and Obligations	Document stating the Rights & Obligations of stock broker /trading member and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Annexure A
4	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Annexure B
5	Guidance note	Document detailing do's and don'ts for trading on exchange for education of investors	Annexure C
6	Policies and Procedures	Document describing significant policies and procedures of Stock Broker.	Annexure D
VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
7	Undertaking	Contains voluntary undertakings by the client with respect to Zerodha's internal operations during the course of the relationship with Zerodha.	8 – 9
8	Running Account Authorization and Mobile Declaration	Letter of Authorization for maintaining a Running Account with Zerodha and Client consent letter for receiving SMS from Zerodha	10
9	Client Defaulter Declaration and Client Acknowledgement	Client declaration stating that he is not a defaulter and Client acknowledgement that he has read all the rules & regulations.	11

Name of the Trading Member	ZERODHA		
Name of the Clearing Member	IL&FS Securities Services Limited		
Cash Segment	NSE, BSE, MCX-SX: ZERODHA		
Derivatives	NSE:	F&O: IL&FS Securities Services Limited SEBI REGISTRATION NO. INF231133630 CDS: IL&FS Securities Services Limited SEBI REGISTRATION NO. INE231308334	
	BSE:	F&O: IL&FS Securities Services Limited SEBI REGISTRATION NO. INF011133834 CDS: IL&FS Securities Services Limited SEBI REGISTRATION NO. INF011390623	
	MCX-SX:	F&O: IL&FS Securities Services Limited SEBI REGISTRATION NO. INF261476735 CDS: IL&FS Securities Services Limited SEBI REGISTRATION NO. INE261390620	

SEBI Registration Number:

Trading Member: ZERODHA			
NSE CM:	INB231390627	F&O: INF231390627	CDS: INE231390627
BSE CM:	INB011390623	F&O: INF011390623	CDS: INF011390623
MCX-SX CM:	INB261390620	F&O: INF261390620	CDS: INE261390620

Registered Office Address:ZERODHA

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony, Opp. Clarence School, Bangalore - 560078 Ph: 080- 40402020

Correspondence Address: Same as above

Website www.zerodha.com

Compliance Officer Name: Venu Madhav, Phone No. & Email ID: 080-40402020, compliance@zerodha.com
CEO Name: Nithin Kamath, Phone No. & Email ID: 080-40402020, ceo@zerodha.com
For any grievance please contact Zerodha at the above address or email complaints@zerodha.com & Phone no. 91-8040402020.

In case not satisfied with the response, please contact the concerned exchange at:

- 1.NSE : ignse@nse.co.in or contact at 022-26598100
- 2.BSE: is@bseindia.com or contact at 022-22728097
3. MCX-SX: investorcomplaints@mcx-sx.com or contact at 022-67319000

Know Your Client (KYC) Application Form - for Individuals

☐ New ☐ Change Request (Please tick ✓ the appropriate box)

Please fill this form in English and BLOCK Letters

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

A IDENTITY DETAILS

☐ 1. Name of the Applicant

☐ 2. Father's/Spouse's Name

☐ 3a. Gender ☐ Male ☐ Female 3b. Marital Status ☐ Single ☐ Married 3c. Date of Birth

☐ 4a. Nationality ☐ Indian ☐ Other (Please Specify)

☐ 4b. Status ☐ Resident Individual ☐ Non Resident ☐ Foreign National

5a. PAN

☐ 5b. Unique Identification Number (UID) / Aadhar, if any

☐ 6. Specify Proof of Identity Submitted ☐ Pan Card ☐ Other (Please Specify)

Photograph

Please affix your recent passport size photograph and sign across it

F1

B ADDRESS DETAILS

☐ 1. Residence/Correspondence Address

City/Town/Village

Pin Code

State

Country

2. Specify the Proof of Address Submitted for Residence / Correspondence Address:

3. Contact Details

Telephone (Office) Fax
Telephone (Residence) Mobile No
Email ID

4. Permanent Address

City/Town/Village Pin Code
State Country

C DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Date :

F2 Client Signature

FOR OFFICE USE ONLY

In Person Verification (IPV) Details:

Name of the Person who has done the IPV:

Designation: Employee ID:

Name of the Organization: ZERODHA

Date of the IPV: Signature of the Person who has done the IPV

Seal/Stamp of the Intermediary

☐ Originals Verified and Self-Attested Document Copies Received

Date

Signature of the Authorized Signatory

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place _____

Date _____



FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Seal/Stamp of the stock broker

Date _____

ZERODHA TARIFF SHEET

To
ZERODHA

153/154, 4th Cross, 4th Phase,
JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078

Charges for Zerodha Trading Services

I/ We agree to pay the charges as per following charges structure for our Trading account with Zerodha effective _____

Client ID: _____

Charge Head	Brokerage / Charges
Equity Intraday	Rs 20 per executed order or 0.01% of Turnover whichever is lower
Equity Delivery	Rs 20 per executed order or 0.1% of Turnover whichever is lower
Futures	Rs 20 per executed order or 0.01% of Turnover whichever is lower
Options*	Rs 20 per executed order or 0.01% of Turnover whichever is lower
Currency Futures	Rs 20 per executed order or 0.01% of Turnover whichever is lower
Currency Options*	Rs 20 per executed order or 0.01% of Turnover whichever is lower
Call & Trade Services	Rs 20 per order placed through a Dealer at Zerodha
NRI - Equity Delivery	Rs 200 per executed order or 0.1% of Turnover whichever is lower
NRI - Futures & Options	Rs 200 per executed order placed using a CP code

*Turnover for Options is calculated as (Strike + Premium)*Lot Size

Note: Brokerage is also charged on expired, exercised, and assigned Futures & Options contracts

In addition to the brokerage charge the following charges will also be levied

Schedule of Charges:

- 1.Turnover Charges
- 2.Securities Transaction Tax
- 3.Service Tax
- 4.Stamp Duty: State-wise stamp duty as applicable will be levied
- 5.Education and Higher Education Cess
- 6.SEBI Turnover Fees

Details of the Schedule of Charges are available online at <https://zerodha.com/charge-list>



Date: _____

SUB: UNDERTAKING / AUTHORISATION

With respect to Member-Constituent Relationship and Mandatory and Voluntary (optional) Documents executed between us, I / We do hereby authorize ZERODHA to do the following:

1.ORDER PLACEMENT INSTRUCTIONS

I understand that you require written instructions from me for placing / modifying / cancelling orders. However, since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even If I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting /calling/ Emailing the Call centre / branch specified for the said purpose by ZERODHA in case of breakdown of internet connectivity or other similar reasons.

I hereby request you to kindly accept my, my authorized representative or mandate holder's verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other modes. I indemnify ZERODHA and its employees against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

2.ERRORS AND OMISSIONS

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances ZERODHA shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold ZERODHA responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by ZERODHA.

3.NO MARKET MANIPULATION

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, ZERODHA has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

4.NOT TO ACT AS UNREGISTERED SUB BROKER

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients. In case I wish to deal for other clients also, I undertake to apply to SEBI through ZERODHA to obtain a sub broker registration. In case ZERODHA perceives that I am acting as an unregistered sub broker, ZERODHA has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to ZERODHA without the requirement of any notice from ZERODHA. Further, ZERODHA has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify ZERODHA from any loss/ damage/claim arising out of such activity.

5.NOT DEBARRED BY ANY REGULATOR

I confirm and declare that there is no bar on me imposed by any Exchange or any Regulatory and/or Statutory authority to deal in securities directly or indirectly. I agree to inform ZERODHA, in writing, of any regulatory action taken by any Exchange or Regulatory/ Statutory authority on me in future. In case I fail to inform the same and ZERODHA on its own comes to know of such action, ZERODHA has the right to suspend/close my trading account and refuse to deal with me. Also, ZERODHA can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to me.

6. PMLA DECLARATION

I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me by ZERODHA officials. I further declare that I shall adhere to all the provisions of PMLA Act, 2002.

I further undertake and confirm that;

- a. I do not have any links with any known criminal
- b. I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

7.INDEMNIFICATION I hereby indemnify and hold ZERODHA, its Directors and employees harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the ZERODHA directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me at the time of registration and/ or subsequently.

INDEMNITY OF JOINT HOLDINGS

I hereby agree to indemnify and hold the ZERODHA harmless from any claims, demands, actions, proceedings, losses, damages, liabilities, charges, and/or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.

8. MUTUAL FUND SERVICE SYSTEM (MFSS) FACILITY

I am interested in availing the MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS of the Exchange. For the purpose of availing the MFSS facility, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of MFSS and I/we further confirm that the details contained in same remain unchanged as on date.

I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

9. NRI DECLARATION

I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to ZERODHA every time the investment is made.

A. THIRD-PARTY PAYMENTS

ZERODHA shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along-with adequate proof thereof as per proforma prescribed by ZERODHA. ZERODHA shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by ZERODHA and the credit for the same has been given in the client's ledger, ZERODHA shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, ZERODHA reserves the right to liquidate any of the open positions and/or any of the collaterals received/ held on behalf of the client. ZERODHA, its Directors and employees shall not be responsible for any consequential damages or losses.

B. NO DEALINGS IN CASH

ZERODHA as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub-Broker/Remisier/Employee/Authorised Person of ZERODHA.

C. DISCLOSURE OF PROPRIETARY TRADING BY ZERODHA

Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, ZERODHA discloses to its clients about its policies on proprietary trades. ZERODHA does proprietary trades in the cash and derivatives segment at NSE, BSE, and MCX-SX.

D. DELIVERIES

The client shall ensure that the shares are properly transferred to the designated demat account of ZERODHA, for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/ZERODHA. In case the client fails to transfer the shares on time to ZERODHA, ZERODHA shall not be responsible for any loss/damages arising out of such delayed transfers.

E. SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then ZERODHA has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. ZERODHA, its Directors and Employees shall not be responsible for any loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favour of ZERODHA.

After such square off of open positions by ZERODHA, as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, ZERODHA shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. ZERODHA, its Directors and employees shall not be responsible for any loss or damages arising out of such selling.

 F6 Client Signature

RUNNING ACCOUNT AUTHORIZATION

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.
2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.
3. I/We request you to settle my fund and securities account
 - ☐ Once in a calendar Month
 - ☐ Once in every calendar Quarter except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
6. I/We confirm you that I can revoke the above mentioned authority at any time.
7. All accounts with credit balances of Rs. 10000/- or lesser may be automatically retained and transfer of funds to the bank account may not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE/INSP/24849, 20091204-7 & MCX-SX-238/2009.

Yours faithfully,



MOBILE DECLARATION

I _____ having PAN _____ do hereby declare that my mobile no. is _____. Further, I authorize ZERODHA that the same may be used for giving me any information/ alert/SMS.

I further declare the above mentioned statement is true and correct.



CLIENT DEFAULTER DECLARATION

Date: _____

I _____ having PAN _____ do hereby declare that I have not been involved in any terrorist activities and I have not been declared a defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchange/ Regulatory bodies, etc.

I further declare that the above mentioned declaration/ statement is true and correct.



To

Zerodha

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony, Opp. Clarence School, Bangalore - 560078

This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

Sub: Acknowledgement

Sl. No	Brief significance of the Document
1	Duly Executed Copy of KYC
2	Rights and Obligations
3	Risk Disclosure document (RDD) for Capital, Derivatives, and Currencies Segments
4	Guidance Note - Do's and Dont's for trading on the Exchange(s) for investors
5	Zerodha Tariff Sheet
6	Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009
7	General Terms & Conditions governing securities trading and broking services of Zerodha

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of ZERODHA

Yours faithfully,

Client Name: _____



ZERODHA SECURITIES PVT. LTD.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078

Additional KYC Form for Opening a Demat Account

For Individuals

(To be filled by the Depository Participant)

[illegible]

DP ID	1	2	0	8	1	6	0	0
-------	---	---	---	---	---	---	---	---

Client ID								
-----------	--	--	--	--	--	--	--	--

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holder Details

[illegible][illegible][illegible][illegible][illegible][illegible]

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub – Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)	

Details of Guardian (in case the account holder is minor)

Guardian's Name														PAN						
-----------------	--	--	--	--	--	--	--	--	--	--	--	--	--	-----	--	--	--	--	--	--

[illegible]

I / We instruct the DP to receive each and every credit in my / our account
(If not marked, the default option would be 'Yes')

[Automatic Credit] ☐ Yes ☐ No

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')

☐ Yes ☐ No

Account Statement Requirement ☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Monthly ☐ Fortnightly

I / We request you to send Electronic Transaction-cum-Holding Statement at the email

☐ Yes ☐ No

ID

I / We would like to share the email ID with the RTA

☐ Yes ☐ No

I / We would like to receive the Annual Report

(Tick the applicable box. If not marked the default option would be in Physical)

☐ Physical ☐ Electronic ☐ Both Physical and Electronic

I / We wish to receive dividend / interest directly in to my bank account as given below through ECS.

☐ Yes ☐ No

(If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)	
IFS Code (11 character)	
Account number	

Account type ☐ Saving ☐ Current ☐ Others (specify) ☐

Bank Name	
Branch Name	
Bank Branch Address	
City	State
Country	PIN code

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii) Photocopy of the Bank Statement having name and address of the BO

(iii) Photocopy of the Passbook having name and address of the BO, (or) (iv) Letter from the Bank.

*In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

Other Details

Gross Annual Income Details Income Range per annum : Gross Annual Income range(In Lakh Rs.):


Upto 1 ☐ 1-5 ☐ 1-10 ☐ 10-25 ☐ Above 25 ☐

Net worth as on (Date) _____ (_____) Net worth should not be older than 1 year)

Occupation : _____

Please tick , if applicable: Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐

Any other information: _____

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).									
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST <input type="checkbox"/> Yes <input type="checkbox"/> No <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 33%;">Stock Exchange Name/ID</th> <th style="width: 33%;">Clearing Member Name</th> <th style="width: 33%;">Clearing Member Id (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member Id (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member Id (Optional)								
	To register for easi, please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

Nomination Details

Nomination Registration No.	Dated

- I /We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:
- ☐ I/We do not wish to nominate any one for this demat account.
- ☐ I/We nominate the following person who is entitled to receive security balances lying in my/our Demat account, particulars whereof are given below, in the event of my / our death.

Full Name of the Nominee																												
Address																												
City														State														
Country						PIN code																						
Telephone No														Fax No														
PAN														UID														
E-mail ID																												
Relationship with BO (If any)																												
Date of birth (mandatory If nominee is a minor)																												

If Nominee is a Minor

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as Guardian:

Full Name of the Nominee																												
Address																												
City														State														
Country						PIN code																						
Telephone No														Fax No														
E-mail ID																												
Relationship of Guardian with Nominee																												

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Note: Two witnesses shall attest signature(s) / thumb impression(s)

Details of the Witness

WITNESSES (Only applicable in case the account holder has made nomination)


Witness Name	Witness Name
Address	Address
Signature	Signature

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form.

I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

 First/Sole Holder or Guardian (in case of Minor)
--

 Second Holder

 Third Holder
--

ZERODHA SECURITIES PVT. LTD.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078


SCHEDULE-A TARIFF STRUCTURE		
		FOR INDIVIDUALS/HUF/CORPORATES
Details		POA Clients
Stamp charges payable upfront		Rs.50/-
Annual Maintenance Charges : (Payable Upfront) and subsequently through ECS / Cheque		
a.	Individuals/Non Individual (except Corporate)	Rs.300/-
b.	Non Individual - Corporate	Rs.1000/-
Transaction Charges : (Market Trades)		
a.	Buy(Receive)	Nil
b.	Sell (Debit)	Rs.8/- + Rs 5.50/- (CDSL Charges)
Transaction Charges : (Off-Market Trades)		
a.	Buy(Receive)	Nil
b.	Sell (Debit)	0.03% or Rs.25/- whichever is higher
Demat (Per certificate)		Rs 10/- (Subject to minimum of Rs.25/- per ISIN)
Remat (Per certificate)		Rs 15/- (Subject to minimum of Rs.50/- per ISIN) CDSL Charges
Courier charges per Demat/Remat/Demat Rejn.		Rs 50/-
Pledge Request		Rs 20/- + Rs 12 per request (CDSL Charges)
Unpledge Request		Rs 20/- + Rs 12 per request (CDSL Charges)
Pledge invocation		Rs 20/-
Periodic Statement		
a.	By Email	Free
b.	Physical	Rs.50/- (Courier Charges)
Adhoc / Non Periodic Statement Requests		
a.	By Email	Rs.10/- per request
b.	Physical	Rs.50 per request upto 10 pages. Every additional page at Rs. 5+ Rs.50/- (Courier)
Delivery instruction		
a.	First Delivery Instruction Book	Free (10 Leaves)
b.	Every Addl Booklet (10 Leaves)	Rs.100/-
Cheque Bounce Charges		Rs.350/-
Failed Transactions		Rs.50 per ISIN
Modification in CML		Rs. 25/- per request
KRA Upload / Download		Rs. 50/-


NOTE :

For all purposes the bill date shall be construed as the date of demand and the bills will be considered as the bill cum notice for payment and Zerodha Securities Pvt. Ltd. reserves the right to Freeze Depository account for debit transaction in case of non payment of charges after two days from the bill date.

Interest @ 18% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any will be intimated 30 days in advance. Annual Maintenance Charge (AMC) is non refundable. Service Tax, Education Cess and Higher Education Cess applicable on all above charges except stamp charges.


First/Sole Holder or
Guardian (in case of Minor)


Second Holder


Third Holder

Space For Franking

POWER OF ATTORNEY (Voluntary)

KNOW ALL MEN BY THESE PRESENTS THAT I/WE Mr./M/s. (First holder) _____
(Second holder) _____

(Third holder) _____ an individual/a sole proprietary concern/a partnership firm/a body corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act 1956, or any relevant Act, having his/her/its residence/registered office/place of business at _____

_____ (hereinafter referred to as "Beneficial Owner") wish to avail/have availed the broking/e-broking facilities and services offered (hereinafter referred to as "Services") by Zerodha (hereinafter referred to as "Zerodha"), a Partnership Firm incorporated under the Indian Partnership Act of 1932, having its registered office at 153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony, Opp. Clarence School, Bangalore - 560078 and is a Member (Trading Member) of the National Stock Exchange of India Limited (NSE), Bombay Stock Exchange limited (BSE) and Metropolitan Stock Exchange of India Limited (MSEI) respectively (hereinafter referred to as "the Exchange").

Whereas in the course of availing the services and for meeting the settlement obligation thereof on the Exchanges, I/We do hereby nominate, constitute and appoint M/s. Zerodha (Member Broker), hereinafter referred to as "Zerodha" acting through their Partners and/or duly authorised staff for the purpose, as my/our true and lawful attorneys for my depository account with Zerodha Securities Pvt. Ltd. (Depository Participant) DP ID **12081600**, Client ID _____ to execute and perform severally the following acts, deeds, matters and things, provided the attorney complies with all applicable conditions of all or any of their services offered by them in their capacity as stock Brokers.

1. To have and exercise the powers and/or authority, to do and/or execute the acts, deeds matters and things specified in agreements between Zerodha and the Beneficial Owner as may be applicable in relation to the transactions executed by the client.

2. To Operate depository account/(s) for the purpose of transferring any collateral to the margin account and honouring delivery obligations for any transaction executed with Zerodha which is registered as a Depository Participant and a stock broker registered with Securities and Exchange Board of India (SEBI).

3. To Sign instructions on my behalf with respect to debit/credit the depository account/(s) for the credit or benefit of my/our account with Zerodha for the transactions carried by me/us with Zerodha.

4. To issue instructions relating, executing delivery/receipt instructions, pledge creation instructions, pledge closure instructions, lending and borrowing instructions, to operate the depository account by issue and receipt of instructions for the above mentioned purpose and such other authorization given by me/us severally on behalf of all of us, or all/any of us jointly, in any electronic form, in any format and at any time either through the portal of Zerodha or through the internet will be validly constituted attorney to intimate the same to the Depository Participant for the purpose of debiting or crediting my account opened with the Depository Participant.

5. To validate on my/our behalf any such instruction so given to the Depository Participant(s), in written/physical or other form as may be required by the concerned Depository Participant.

6. For these purposes and to this extent, Zerodha is empowered by me/us, to affix their signatures to any document, form or any other record, being a delivery participant, as required by the concerned depository.

7. I/We also undertake to pay such demat charges/fees and such other charges incurred by Zerodha under this power of attorney and that I/We further authorise Zerodha to debit my/our account with Zerodha with the said charges as and when the same becomes due for payment and I/we hereby undertake to pay the same immediately on demand made by Zerodha.

8. To register this power of attorney with the Depository Participant and/or with any other party concerned as is required within the parameters of this Power of Attorney.

9. To sign all applications, apply for, subscribe to or enter into correspondence with respect to any Initial Public offers/Offer for sale of securities of the companies/units of mutual funds, asset management company or any other "investment products" offered through the website www.zerodha.com (owned by Zerodha Securities Pvt. Ltd.) or any other means as per the instructions made available to them by me/us as on the website or otherwise.

10. To make payment to any party with respect to the aforesaid products mentioned in Point 9 above on my/our behalf to fulfil the transaction requested by me/us.

 First/Sole Holder or
Guardian (in case of Minor)

 Second Holder

 Third Holder

11. To enter into correspondence with any party with respect to any transaction in any investment products.
 12. To forward all such applications placed through the website to the online-IPO module of the concerned Exchange or owner / issuer of "Investment Product".
 13. To receive intimation from the Exchange and any other party regarding the allocation / allotment / rejection / regret of the securities or such other "Investment Product" applications / subscriptions / withdrawal or any other communications.
 14. To authorize Zerodha to invest on behalf of me/us and to hold the mutual fund / asset management company(ies) based on the request given by me / us. I confirm not to hold such mutual fund / asset management company / Zerodha liable for any transaction processed based on my / our request to correspond with and give notice to the corresponding asset management company / body corporate(s) issuer / registrar and transfer agent of securities including giving instructions with regard to nomination / change in investment plans / any other changes that may be necessitated pursuant to the authorization given by me/us to Zerodha in this regard.
 15. To deposit / transfer on allotment, the securities, debentures, units of the mutual funds and / or all other investments products applied through Zerodha, on allotment, to my / our Beneficial Owner Demat Account opened for the purpose with the Zerodha-DP.
 16. To do all other acts and things as may be necessary to affect the subscriptions / purchase / redemption or any other transaction in any investment Product for which services are availed from Zerodha.
 17. To debit my Trading account towards monies / fees / charges etc. payable to Zerodha or to a Third party Service provider or to any of the affiliates / subsidiaries of Zerodha by virtue of I / We using / subscribing to any of the facilities / service provided either by Zerodha or through a third party service provider or by any other security or financial instrument on behalf of me / us through Zerodha or any third party. To bind ourselves with respect to any instruction given by first holder or any other holder to Zerodha.
 18. In case of any erroneous transfer done by attorney, the attorney may return the same to my account as soon it comes to their notice.
 19. To send the consolidated summary of client wise, scrip wise buy and sell position with average rates by email.
 20. To authorize Zerodha to transfer the securities to any of the demat accounts of Zerodha as mentioned in **Annexure B**.
 21. We the joint holders of demat account agree, ratify and confirm to bind ourselves to any instructions given by the client herein above mentioned who shall be the exclusive beneficiary of the transactions carried out pursuant to this power of attorney in favour of the Director/(s) and / or the Authorised Signatories, who have in token thereof, subscribed their signature thereto.
- That the power of Attorney herein referred to is revocable at any time without notice subject to such revocation shall not be applicable for any outstanding settlement obligation arising out of the trades carried out prior to receiving request for revocation of POA.
- That, I/We hereby declare that all the actions taken by my/our above mentioned attorney (herein Zerodha) in this regards shall be deemed to be an action done by me /us and if necessary shall be ratified by me /us on the instruction of the said attorney and that such actions will be binding on me/us.
- I/We agree that Zerodha is entitled to credit the proceeds of any instruction. This document shall be subject to the jurisdiction of the courts in Bangalore.
- In witness whereof I/We have executed this revocable Power of Attorney on the day, date and year herein below mentioned.

Annexure B

PARTICULARS	DP ID	CLIENT ID	PARTICULARS	DP ID	CLIENT ID
CDSL CLIENT BEN A/C			CDSL NSE EARLY PAY-IN A/C		
CDSL MCX-SX POOL A/C			CDSL BSE EARLY PAY-IN A/C		
CDSL BSE PRINCIPAL A/C			NSL BSE POOL A/C		
CDSL NSE POOL A/C			NSDL MCX-SX POOL A/C		
CDSL CLIENT MARGIN A/C			NSDL NSE POOL A/C		
CDSL MCX-SX EARLY PAY-IN A/C			NSDL BEN A/C		

Dated at bangalore on this _____ day of _____

 F14 First/Sole Holder or Guardian (in case of Minor)	 S4 Second Holder	 T4 Third Holder
--	---	--

WITNESSES

Witness Name	Witness Name
Address	Address
Signature	Signature

Date : _____

To,

ZERODHA SECURITIES PVT. LTD.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078

Dear Sir,

Sub : Requesting for bill/transaction/holding statement through email.

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no. 12081600_____ at following email address: _____

I/We fully agree and are aware of following Terms and Conditions mentioned below.

- (a) that I/We will not receive the bill, transaction and holding statements in paper form.
- (b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- (c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.
- (d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the ZERODHA SECURITIES. about change in email address, if any.

In case, the ZERODHA SECURITIES is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), ZERODHA SECURITIES Pvt. Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.

I/We hereby request you to sent the statements:

daily ☐ fortnightly ☐ weekly ☐ monthly ☐

Yours faithfully,

F15 First/Sole Holder or Guardian (in case of Minor)

S5 Second Holder

T5 Third Holder

OPTION FORM FOR ISSUE OF DIS BOOKLET (Voluntary)

Date: _____

DP ID								
-------	--	--	--	--	--	--	--	--

Client ID								
-----------	--	--	--	--	--	--	--	--

[illegible]

To,

ZERODHA SECURITIES PVT. LTD.

153/154, 4th Cross, 4th Phase, JP Nagar, Dollars Colony,
Opp. Clarence School, Bangalore - 560078

Dear Sir,

I/We hereby state that: [select one of the option given below]

OPTION 1:

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/ We have issued a power of Attorney (POA) in favour of Zerodha Securities Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.


OR


OPTION 2:

I / We do not require the delivery Instruction Slip(DIS)for the time being, Since I/We have issued a POA in favour of Zerodha Securities Pvt. Ltd. for executing the delivery instructions for settling stock exchange trades [settlement related transaction]effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

I We here by select OPTION 1 ☐ OPTION 2 ☐

F16 First/Sole Holder or Guardian (in case of Minor)

 **S6** Second Holder

 **T6** Third Holder

Client E-mail ID

Client Mobile Number

[illegible]

Declaration

I hereby declare that the mobile no./email id mentioned in the Account opening form/ request for change in mobile no./email id is my own

REQUEST LETTER FOR REGISTRATION OF MOBILE NO./EMAIL ID OF PERSON BELONGING TO THE CLIENT'S FAMILY

Please note that the mobile no./email id/both mentioned in the Account opening form/ request for change in mobile no./email id belongs to Mr/Mrs./Ms. _____ who is my _____ [relationship with the client]

[*Refer to the instructions mentioned below]

F17 First/Sole Holder or Guardian (in case of Minor)

*[Only the mobile no./email id of your spouse, dependent children and dependent parents can be registered in your demat account]